# **Tumor Microenvironment and Tumor Immunology**

Robert Schwabe, MD

Date: 11/05/2025

CANCER CELL BIOLOGY COURSE COLUMBIA UNIVERSITY MEDICAL CENTER, FALL 2025

# Today's lecture

### Part I: Tumor microenvironment:

- 1. Components and organization of the TME
- 2. Functions of the TME and role of specific cell types
- 3. Diversity in the TME

**Literature:** The Biology of Cancer (Weinberg, 3<sup>rd</sup> ed, 2023) Chapter 13; as well as select papers mentioned in various slides

## Part II: Tumor immunology

- 1. Immunosurveillance and immunoediting
- 2. Key cell types contributing to anti-tumor immunity
- 3. Immune checkpoints, exhaustion and mechanisms of immunosuppression.
- 4. "Hot" versus "cold" tumors
- 5. Anti-tumor therapy

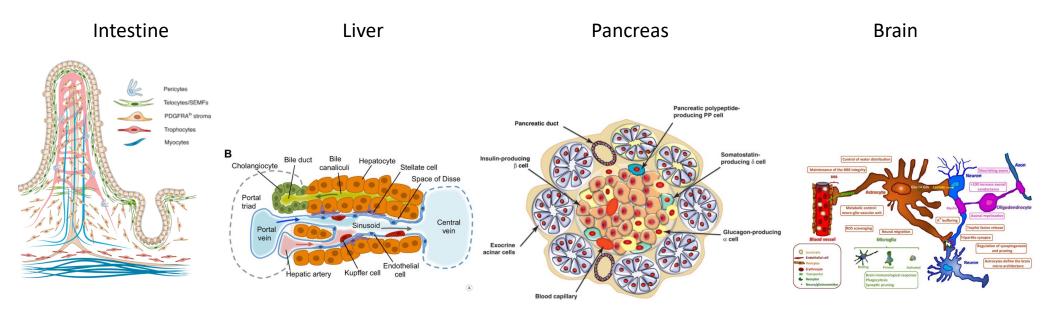
(more on that topic also in the lecture by **Dr. Benjamin Izar**)

**Literature:** The Biology of Cancer (Weinberg, 3<sup>rd</sup> ed, 2023) Chapters 15+16; as well as select papers mentioned in various slides

- Part 1: The Tumor Microenvironment
  - Questions

- Part 2: Part 2: Tumor Immunology
- Questions

## Complex and organ-specific architecture and cell-cell communication in normal tissues



Multiple cell types required to maintain epithelial cell (the source of most tumors) function and communication

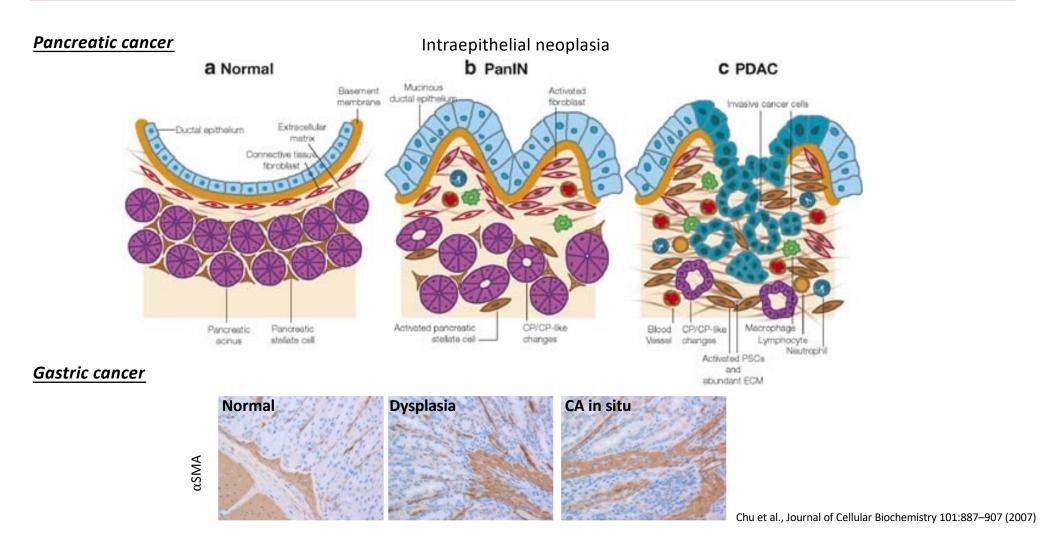
Vast differences between organs in organization and cell-cell communication, but conserved patterns

### What does a tumor look like?

# Tumor? Tumor cells + Endothelial cells Pericytes Macrophages Neutrophils Lymphocytes Fibroblasts + ECM Nerves

- 1. The tumor-microenvironment (TME) and cell-cell communication with the TME have **organ-specific characteristics**. In some tumors, cells from the TME can constitute >80% of tumor mass (e.g. pancreatic cancer).
- 2. **The TME co-evolves with the tumor.** The tumor requires other cells to grow however, not all cells in the TME are "pro-tumor". The immune system may eliminate tumor cells; cancer-associated fibroblasts (CAF) may encapsulate tumors to inhibit their grow. Over time, tumors, co-opt the TME and turn restriction into promotion.
- 3. Advanced/dedifferentiated/metastatic tumors may lose this organ-specific TME and/or requirement for it (e.g. allowing to metastize/grow in different environments)

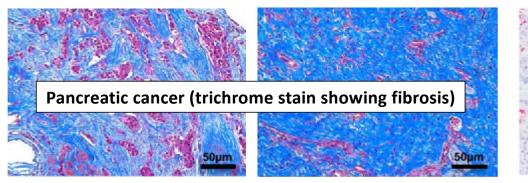
# Stromal changes can already occur in premalignant stages

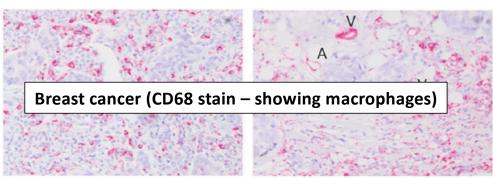


# The stroma can constitute >50% of the tumor mass in some tumor types

	Estimated % stroma
Esophagus (mostly SCC)	50-82%
Gastric	34%
Liver	50%
Pancreas	83%
Colon	34%
Breast	41-66%
Prostate	40%
Renal	10%
Glioblastoma	10%

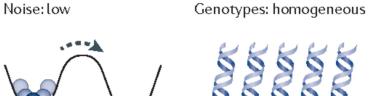
### Most abundant cell types in stroma-rich tumors are CAF and macrophages



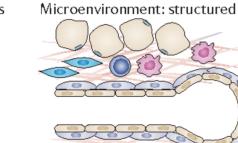


### Differences between the normal and tumor microenvironemnt

### Normal tissue: Structured organization and robust network/interactions

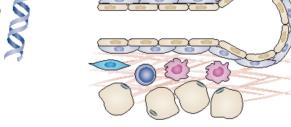


Attractor B





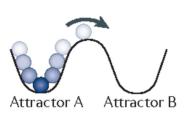
Network architecture: robust



# Tumor tissue: High heterogeneity, chaotic organization/high "entropy", reorganized interactions/network

Noise: high

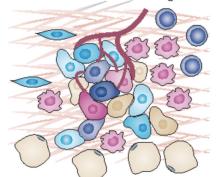
Attractor A



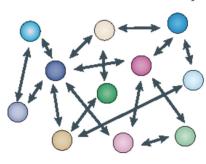
Genotypes: heterogeneous



Microenvironment: disorganized



Network architecture: noisy



# Many cell culture models of cancer ignore the TME

### Standard 2D tumor cell culture models (usually monocultures)

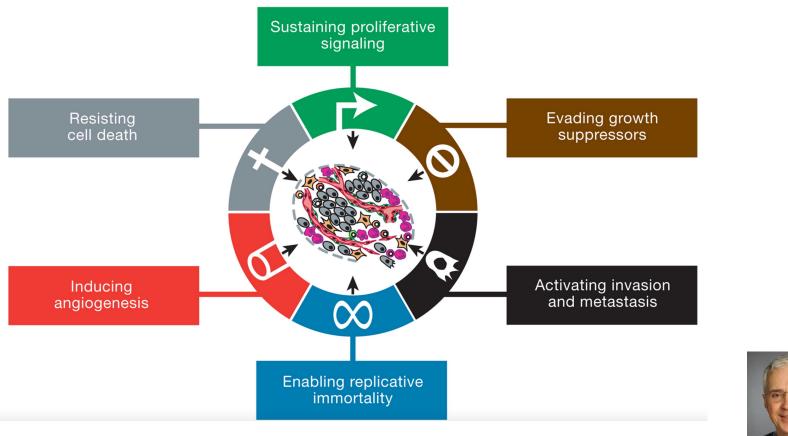
- Absence of cells from the TME such as CAF, inflammatory cells, nerves, vessels
- Absence of tumor-typical ECM; instead plastic surfaces that are over 1000-fold stiffer than tumors
- Most cell lines are selected for these specific cell culture conditions and may therefore differ from tumor cells in vivo (many tumors taken out of mice or people will not easily grow in dishes)

3D models/organoids address some concerns – increasing use of multicellular model

Ok to work with cell lines but have to know what they are useful for and the limitations

2. Functional impact of the TME

# Hallmarks of cancer

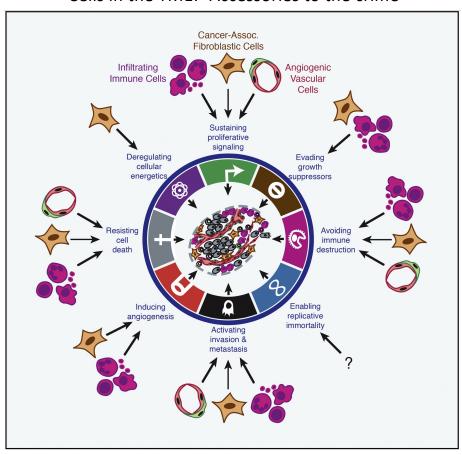






# How does the tumor microenvironment fit into the Hallmark concept/cancer biology?

Cells in the TME: "Accessories to the crime"



Hanahan and Coussens. Accessories to the crime: functions of cells recruited to the tumor microenvironment. Cancer Cell . 2012 Mar 20;21(3):309-22.

# Tumor-promoting and tumor-restricting effects of cell in the TME

### **Tumor restricting**

- Immune recognition + destruction
- Growth restriction/encapsulation by ECM

### **Tumor promoting**

- Immunosuppression by MDSC/CAF, ECM
- Tumor-promoting inflammation
- Tumor-promoting angiogenesis
- Tumor-promoting metabolism
- Tumor-promoting fibrosis and stiffness
- Tumor-promoting nerve signals

### **Caveats:**

- The role of the stroma is often tumor-, context- and stage-specific
- In the long run, tumors reprogram the stroma to become tumor promoting



# Tumors: "Wounds that do not heal"

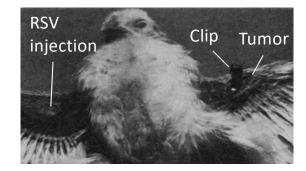
"Tumors: Wounds that do not heal" (Dvorak, HF, N Engl J Med 1986)

- In many aspects, tumors resemble wounds with influx of inflammatory cells; fibroblast activation;
   necrosis and ensuing wound healing responses; activation of the coagulation cascade; angiogenesis
- Tumors employ many aspects of this wound healing response to (i) reorganize the environment and
   (ii) utilize the growth/regeneration-promoting aspects of wound healing for their own growth

### Wounding promotes cancer development in experimental models.

Wounding promotes tumor formation induced by Rous sarcoma virus (Dolberg et al, *Science* 1985)





# Normal fibroblasts can be tumor suppressive

J. Cell Sci. 1, 297-310 (1966)

Printed in Great Britain

GROWTH INHIBITION OF POLYOMA-TRANSFORMED CELLS BY CONTACT WITH STATIC NORMAL FIBROBLASTS

M. G. P. STOKER, MOIRA SHEARER AND C. O'NEILL Medical Research Council Experimental Virus Research Unit, Institute of Virology, University of Glasgow

17188–17193 | PNAS | December 2, 2014 | vol. 111 | no. 48

Inhibition of tumor cell proliferation and motility by fibroblasts is both contact and soluble factor dependent

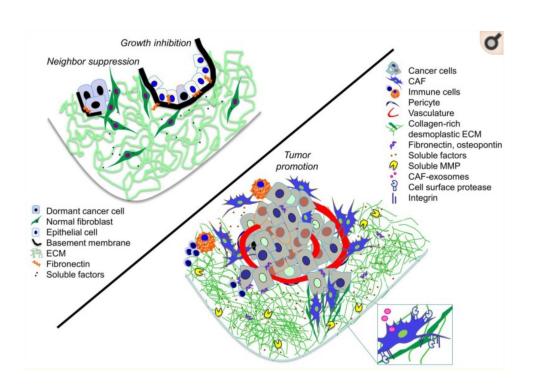
Twana Alkasalias<sup>a,b</sup>, Emilie Flaberg<sup>a</sup>, Vladimir Kashuba<sup>a,c</sup>, Andrey Alexeyenko<sup>a,d</sup>, Tatiana Pavlova<sup>a</sup>, Andrii Savchenko<sup>a</sup>, Laszlo Szekely<sup>a</sup>, George Klein<sup>a,1,2</sup>, and Hayrettin Guven<sup>a,1</sup>

**Article** 

# Opposing roles of hepatic stellate cell subpopulations in hepatocarcinogenesis

Aveline Filliol<sup>1</sup>, Yoshinobu Saito<sup>119</sup>, Ajay Nair<sup>1,2,19</sup>, Dianne H. Dapito<sup>119</sup>, Le-Xing Yu<sup>119</sup>, Aashreya Ravichandra<sup>1,14</sup>, Sonakshi Bhattacharjee<sup>1</sup>, Silvia Affo<sup>115</sup>, Naoto Fujiwara<sup>3</sup>, Hua Su<sup>4</sup>, Qiuyan Sun<sup>1</sup>, Thomas M. Savage<sup>5</sup>, John R. Wilson-Kanamori<sup>9</sup>, Jorge M. Caviglia<sup>116</sup>, LiKang Chin<sup>737</sup>, Dongning Chen<sup>7</sup>, Xiaobo Wang<sup>1</sup>, Stefano Caruso<sup>8</sup>, Jin Ku Kang<sup>19</sup>, Amit Dipak Amin<sup>1</sup>, Sebastian Wallace<sup>6</sup>, Ross Dobie<sup>6</sup>, Deqi Yin<sup>1</sup>, Oscar M. Rodriguez-Fiallos<sup>1</sup>, Chuan Yin<sup>118</sup>, Adam Mehal<sup>1</sup>, Benjamin Izar<sup>1</sup>, Richard A. Friedman<sup>10</sup>, Rebecca G. Wells<sup>7</sup>, Utpal B. Pajvani<sup>10</sup>, Yujin Hoshida<sup>3</sup>, Helen E. Remottii<sup>11</sup>, Nicholas Arpaia<sup>5</sup>, Jessica Zucman-Rossi<sup>8</sup>, Michael Karin<sup>4</sup>, Neil C. Henderson<sup>612</sup>, Ira Tabas<sup>1,5,11,5</sup> & Robert F. Schwabe<sup>19,53</sup>

**356** | Nature | Vol 610 | 13 October 2022



### Multiple mechanisms of suppression:

- Contact and soluble factors involved
- Likely organ-specific mechansims

# Activated fibroblasts can be tumor-promoting

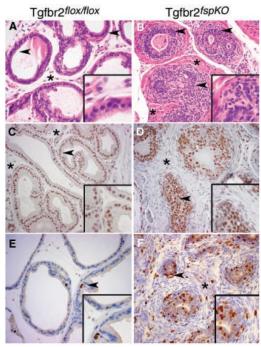
### TGF-β Signaling in Fibroblasts Modulates the Oncogenic Potential of Adjacent Epithelia

Neil A. Bhowmick, <sup>1,4</sup> Anna Chytil, <sup>1</sup> David Plieth, <sup>2</sup> Agnieszka E. Gorska, <sup>1,4</sup> Nancy Dumont, <sup>2,4</sup> Scott Shappell, <sup>3,4</sup> M. Kay Washington, <sup>3,4</sup> Eric G. Neilson, <sup>2,4</sup> Harold L. Moses <sup>1,3,4\*</sup>

### Approach:

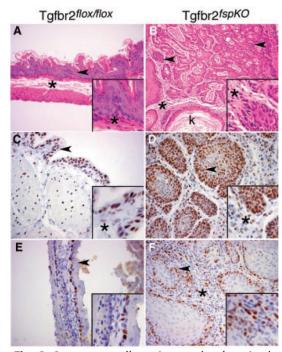
FSP1-Cre x Tgfbr2 fl/fl to delete Tgfbr2 in fibroblasts

### Development of PIN



**Fig. 1.** Loss of TβRII expression in fibroblasts results in prostate intraepithelial neoplasia (PIN).

### Development of squamous cell carcinoma



**Fig. 2.** Squamous cell carcinoma develops in the forestomachs of Tgfbr2<sup>fspKO</sup> mice.

### Suggestive of strong effects of altered fibroblasts on neighboring epithelial cells

- Is FSP1-Cre specific to fibroblasts or was there recombination in epithelial cells?
- Is this related to developmental issues as Cre expression may have be turned on early in development?

# Activated fibroblasts can be tumor-promoting

[CANCER RESEARCH 60, 1254-1260, March 1, 2000]

Irradiated Mammary Gland Stroma Promotes the Expression of Tumorigenic Potential by Unirradiated Epithelial Cells<sup>1</sup>

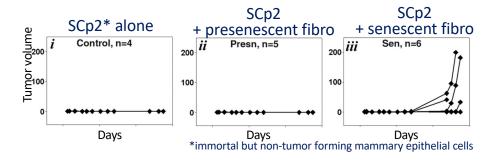
Mary Helen Barcellos-Hoff<sup>2</sup> and Shraddha A. Ravani

Life Sciences Division, Lawrence Berkeley National Laboratory, Berkeley, California 94720

**12072–12077** | PNAS | **October 9, 2001** | vol. 98 | no. 21

# Senescent fibroblasts promote epithelial cell growth and tumorigenesis: A link between cancer and aging

Ana Krtolica\*, Simona Parrinello\*, Stephen Lockett\*†, Pierre-Yves Desprez\*, and Judith Campisi\*§



### **CellPress**

**Cancer Cell** 

Article

# Promotion of cholangiocarcinoma growth by diverse cancer-associated fibroblast subpopulations

Silvia Affo, <sup>1</sup> Ajay Nair, <sup>2,25</sup> Francesco Brundu, <sup>2,25</sup> Aashreya Ravichandra, <sup>1,25</sup> Sonakshi Bhattacharjee, <sup>1</sup> Michitaka Matsuda, <sup>5</sup> LiKang Chin, <sup>4</sup> Aveline Filliol, <sup>1</sup> Wen Wen, <sup>1</sup> Xinhua Song, <sup>5</sup> Aubrianna Decker, <sup>5</sup> Jeremy Worley, <sup>2</sup> Jorge Matias Caviglia, <sup>1</sup> Lexing Yu, <sup>1</sup> Degi Yin, <sup>1</sup> Yoshinobu Saito, <sup>1</sup> Thomas Savage, <sup>7</sup> Rebecca G. Wells, <sup>4</sup> Matthias Mack, <sup>8</sup> Lars Zender, <sup>9,10,11</sup> Nicholas Arpaia, <sup>7,12</sup> Helen E. Remotti, <sup>13</sup> Raul Rabadan, <sup>2</sup> Peter Sims, <sup>14</sup> Anne-Laure Leblond, <sup>15</sup> Achim Weber, <sup>15</sup> Marc-Oliver Riener, <sup>15</sup> Brent R. Stockwell, <sup>6,16</sup> Jellert Gaublomme, <sup>6</sup> Josep M. Llovet, <sup>17,18,19</sup> Raghu Kalluri, <sup>20</sup> George K. Michalopoulos, <sup>21</sup> Ekihiro Seki, <sup>3</sup> Daniela Sia, <sup>18</sup> Xin Chen, <sup>5</sup> Andrea Califano, <sup>1,2,12,14,22,23</sup> and Robert F. Schwabe<sup>1,12,24,26,\*</sup>

866 Cancer Cell 39, 866–882, June 14, 2021

### Article

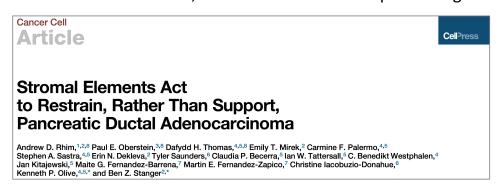
# Opposing roles of hepatic stellate cell subpopulations in hepatocarcinogenesis

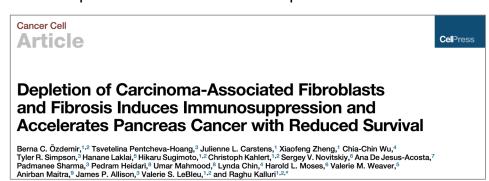
Aveline Filliol<sup>1</sup>, Yoshinobu Saito<sup>119</sup>, Ajay Nair<sup>1,2,19</sup>, Dianne H. Dapito<sup>119</sup>, Le-Xing Yu<sup>119</sup>, Aashreya Ravichandra<sup>1,14</sup>, Sonakshi Bhattacharjee<sup>1</sup>, Silvia Affo<sup>1,15</sup>, Naoto Fujiwara<sup>3</sup>, Hua Su<sup>4</sup>, Qiuyan Sun<sup>1</sup>, Thomas M. Savage<sup>5</sup>, John R. Wilson-Kanamori<sup>6</sup>, Jorge M. Caviglia<sup>119</sup>, LiKang Chin<sup>2,17</sup>, Dongning Chen<sup>7</sup>, Xiaobo Wang<sup>1</sup>, Stefano Caruso<sup>8</sup>, Jin Ku Kang<sup>19</sup>, Amit Dipak Amin<sup>1</sup>, Sebastian Wallace<sup>6</sup>, Ross Dobie<sup>6</sup>, Deqi Yin<sup>1</sup>, Oscar M. Rodriguez-Fiallos<sup>1</sup>, Chuan Yin<sup>118</sup>, Adam Mehal<sup>1</sup>, Benjamin Izar<sup>1</sup>, Richard A. Friedman<sup>10</sup>, Rebecca G. Wells<sup>7</sup>, Utpal B. Pajvan<sup>119</sup>, Yujin Hoshida<sup>3</sup>, Helen E. Remotti<sup>11</sup>, Nicholas Arpaia<sup>5</sup>, Jessica Zucman-Rossi<sup>8</sup>, Michael Karin<sup>4</sup>, Neil C. Henderson<sup>612</sup>, Ira Tabas<sup>1,81,15</sup> & Robert F. Schwabe<sup>1,930</sup>

**356** | Nature | Vol 610 | 13 October 2022

# Activated fibroblasts can be tumor-restraining

In most tumors, CAF seem to be tumor promoting. But a different picture in some studies on pancreatic cancer:





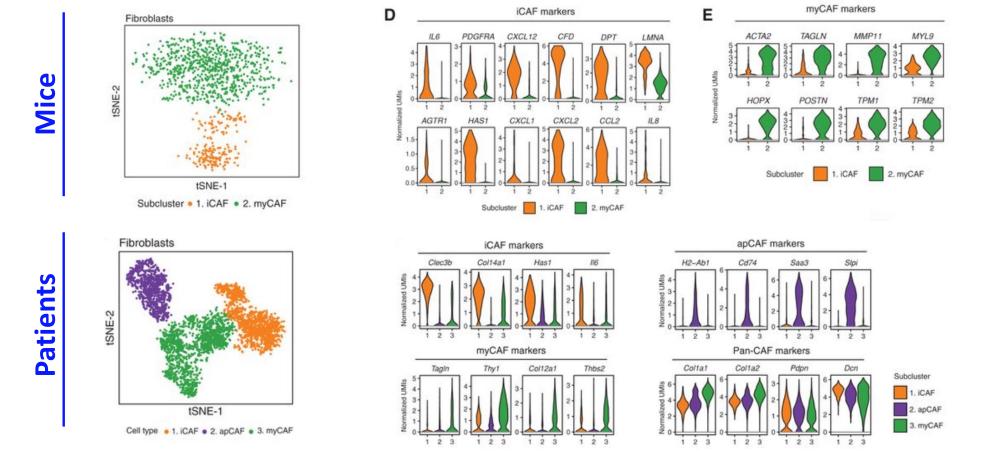


Possibility of tumor-promoting and tumor-restricting CAF subpopulations in PDAC and other tumors.

# CAF diversity: Inflammatory, antigen-presenting and myofibroblastic CAF

Cross-Species Single-Cell Analysis of Pancreatic Ductal Adenocarcinoma Reveals Antigen-Presenting Cancer-Associated Fibroblasts

Ela Elyada<sup>1,2</sup>, Mohan Bolisetty<sup>3,4</sup>, Pasquale Laise<sup>5</sup>, William F. Flynn<sup>3</sup>, Elise T. Courtois<sup>3</sup>, Richard A. Burkhart<sup>6</sup>, Jonathan A. Teinor<sup>6</sup>, Pascal Belleau<sup>1</sup>, Giulia Biffi<sup>1,2</sup>, Matthew S. Lucito<sup>1,2</sup>, Santhosh Sivajothi<sup>3</sup>, Todd D. Armstrong<sup>6</sup>, Dannielle D. Engle<sup>1,2,7</sup>, Kenneth H. Yu<sup>8</sup>, Yuan Hao<sup>1</sup>, Christopher L. Wolfgang<sup>6</sup>, Youngkyu Park<sup>1,2</sup>, Jonathan Preall<sup>1</sup>, Elizabeth M. Jaffee<sup>6</sup>, Andrea Califano<sup>5,9,10,11,12</sup>, Paul Robson<sup>3,13</sup>, and David A. Tuveson<sup>1,2</sup>



# **CAF** can promote resistance to tumor therapy

<ul> <li>Desmoplastic CAF-rich tumors are often highly resistant to therapy (e.g PDAC, cholangiocarcinoma)</li> </ul>
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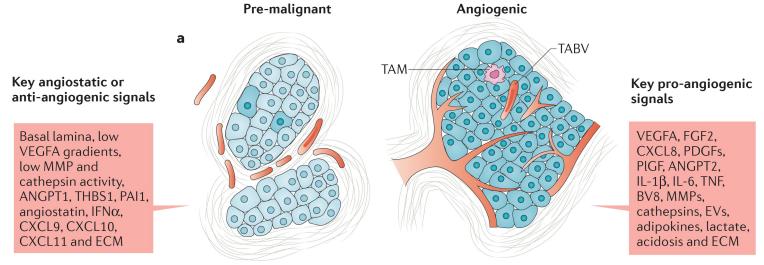
- CAF can mediate resistance to multiple forms of therapy (chemo- and radiotherapy, targeted therapy....) (Chen et al, Nat Rev Drug Discov 2019;18(2):99-115; Feng et al, Cancer Cell Int. 2022 9;22:166)

- These effects can be mediated by soluble factors (e.g. CAF-produced HGF), immune mechanisms, ECM....



# How is tumor angiogenesis induced and maintained in the TME?

- The main stimulus for tumor angiogenesis is tumor cell **hypoxia**, which induces secretion of angiogenic factors such as **VEGF** from tumor cells. VEGF acts on endothelial cells, promoting motility of EC ("tip cells") resulting in new vascular sprouts towards the VEGF gradient.
- Tumor vascularization typical for established tumors but not premalignant stages due to smaller size, intact basement membrane and angiostatic signals



Microenvironmental regulation of tumour angiogenesis. De Palma M, Biziato D, Petrova TV. Nat Rev Cancer. 2017 Aug;17(8):457-474.

"Angiogenesis" lecture by Dr. Minah Kim

# Crosstalk in the TME maintains angiogenesis

### Role of myeloid cells TIE2-expressing TAM CCR3 CSF1R TIE2-expressing TAM CSF1R CCR2 Granylocyte or G-MDSC Monocyte or M-MDSC CCL11 CSF1 CSF3 VEGFA Angiogenesis regulators --> Cell differentiation VEGFA IL-1β, IL-6 or recruitment by FGF2 TNF tumour signals OCXCL8 BV8 Activation of MMP2 or MMP9 WNT7B angiogenesis ANGPT2 Cathepsin

precursors

Figure 2 | Myeloid cell regulation of tumour angiogenesis. Various tumour-derived myeloid-cell chemoattractants — such

### Angiogenesis regulators membrane VEGFA OCXCL12 OPDGFB Endothelial destabilization Vascular leakage Platelet extravasation ECM Monocyte or LOX and recirculation Vascular Granulocyte or G-MDSC naturation maturation CXCL12 PDGFR VEGFA **PDGFB** Myeloid -

**Role of CAF** 

Figure 4 | Chronic wound-healing response promotes tumour angiogenesis. Under the influence of transforming growth

Fibroblast

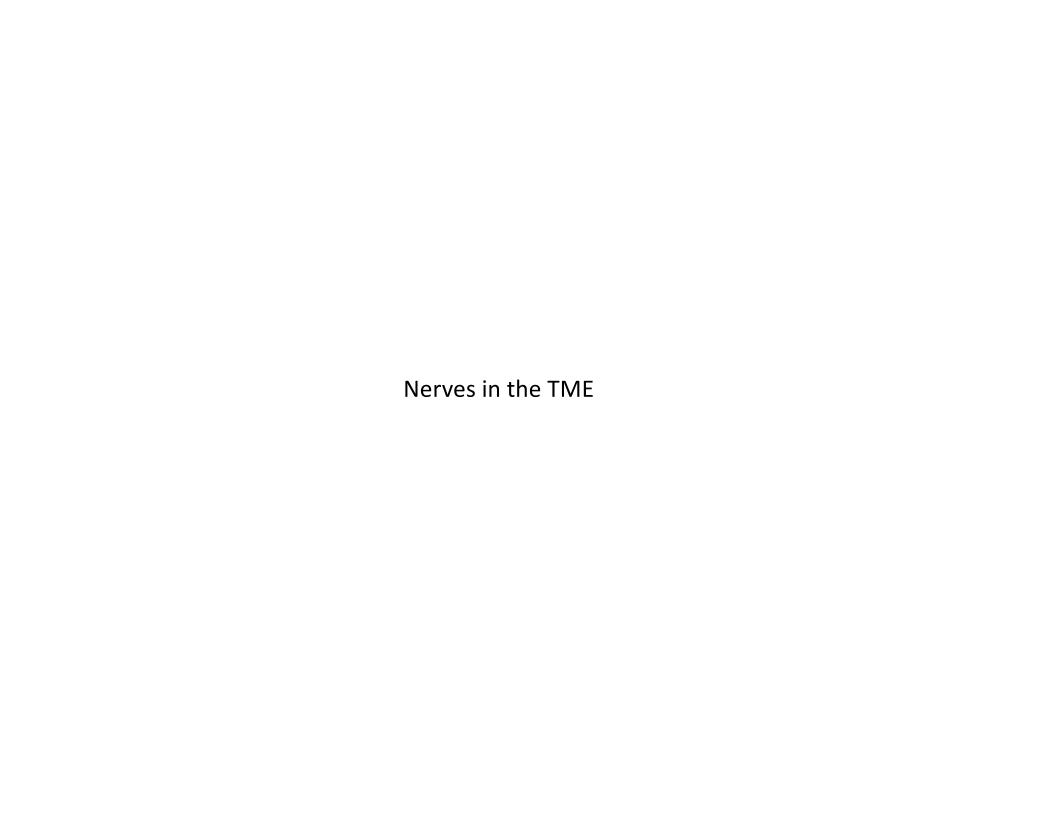
Microenvironmental regulation of tumour angiogenesis. De Palma M, Biziato D, Petrova TV. Nat Rev Cancer. 2017 Aug;17(8):457-474.

by tumour signals

---> Cell differentiation or recruitment

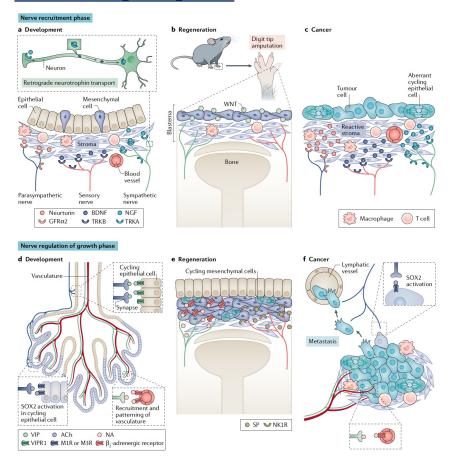
Activation of angiogenesis

"Angiogenesis" lecture by Dr. Minah Kim



# Role of nerves in tumor growth

# Nerves are recruited in development, regeneration and cancer and regulate growth



### **Nerves influence the TME**

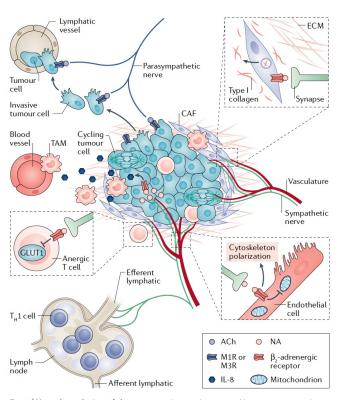


Fig. 3 | Neural regulation of the tumour microenvironment. Nerves interact with

Ali H Zahalka and Paul S Frenette.. Nerves in cancer. Nat Rev Cancer 2020 Mar;20(3):143-157.

# **Examples of the tumor-promoting effects of nerves**

### Cancer Cell Article

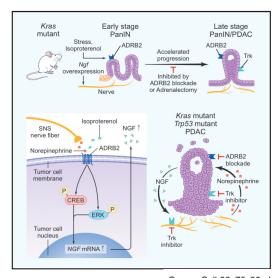


# **β2 Adrenergic-Neurotrophin Feedforward Loop Promotes Pancreatic Cancer**

Bernhard W. Renz, 1,2,14 Ryota Takahashi,2,14 Takayuki Tanaka,2 Marina Macchini,2,3 Yoku Hayakawa,2,4 Zahra Dantes,5 H. Carlo Maurer,2 Xiaowei Chen,2,15 Zhengyu Jiang,2 C. Benedikt Westphalen,2,6 Matthias Ilmer,1 Giovanni Valenti,2 Sarajo K. Mohanta,7 Andreas J.R. Habenicht,7 Moritz Middelhoft,1 Timothy Chu,2 Karan Nagar,2 Yagnesh Tailor,2 Riccardo Casadei,3 Mariacristina Di Marco,3 Axel Kleespies,1 Richard A. Friedman,10 Helen Remotti,11 Maximilian Reichert,5 Daniel L. Worthley,2,12 Jens Neumann,10 Jens Werner,1 Alina C. luga,11 Kenneth P. Olive,2,11 and Timothy C. Wang,2,10 Kenneth P. Olive,2,11

### **Highlights**

- Neuropsychological stress accelerates PDAC development
- ADRB2-signaling upregulates NGF and BDNF, thereby increasing nerve density
- Blockade of the ADRB2 and NGF/Trk pathways prolongs survival in KPC mice
- ADRB2 and NGF-BDNF/Trk pathways may be promising targets in PDAC treatment





Timothy Wang, MD

### Cancer Cell Article

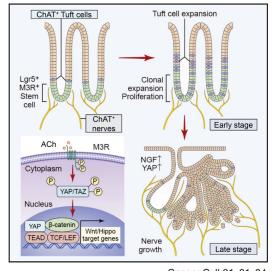


# Nerve Growth Factor Promotes Gastric Tumorigenesis through Aberrant Cholinergic Signaling

Yoku Hayakawa, <sup>1,212</sup> Kosuke Sakitani, <sup>1,12</sup> Mitsuru Konishi, <sup>2</sup> Samuel Asfaha, <sup>1,3</sup> Ryota Niikura, <sup>2</sup> Hiroyuki Tomita, <sup>4</sup> Bernhard W. Renz, <sup>1,3</sup> Yagnesh Tailor, <sup>1</sup> Marina Macchini, <sup>1</sup> Moritz Middelhoff, <sup>1</sup> Zhengyu Jiang, <sup>1</sup> Takayuki Tanaka, <sup>1</sup> Zinaida A. Dubeykovskaya, <sup>1</sup> Woosook Kim, <sup>1</sup> Xiaowei Chen, <sup>1</sup> Aleksandra M. Urbanska, <sup>1</sup> Karan Nagar, <sup>1</sup> Christoph B. Westphalen, <sup>1,5</sup> Michael Quante, <sup>2</sup> Chyuan-Sheng Lin, <sup>3,6</sup> Michael D. Gershon, <sup>9</sup> Akira Hara, <sup>4</sup> Chun-Mei Zhao, <sup>10</sup> Duan Chen, <sup>10</sup> Daniel L. Worthley, <sup>1,11</sup> Kauhiko Koike, <sup>2</sup> and Timothy C. Wangi <sup>1,32</sup> Lindon, <sup>10</sup> Changi Lin, <sup>10</sup> Michael D. Gershon, <sup>10</sup> Akira Hara, <sup>4</sup> Chun-Mei Zhao, <sup>10</sup> Duan Chen, <sup>10</sup> Daniel L. Worthley, <sup>1,11</sup> Kauhiko Koike, <sup>2</sup> and Timothy C. Wangi <sup>1,32</sup> Lindon, <sup>10</sup> Changi Maran Maran

### **Highlights**

- NGF expression is induced in gastric cancer by ACh from nerves and tuft cells
- NGF promotes innervation and proliferation in gastric epithelium
- Blockade of NGF or ablation of cholinergic tuft cells inhibits tumor development
- Cholinergic signaling activates YAP signaling that is essential for Wnt activation



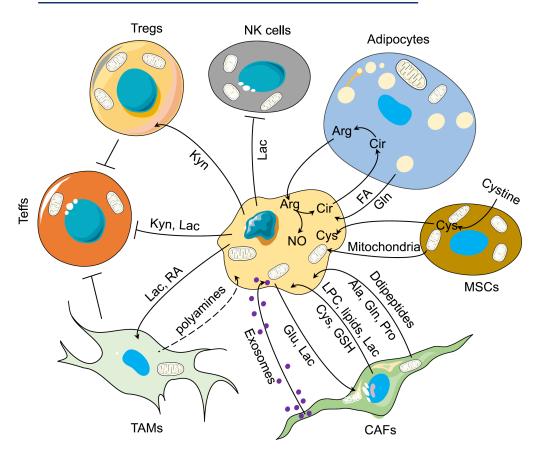
Cancer Cell 33, 75-90, January 8, 2018

Cancer Cell 31, 21-34, January 9, 2017

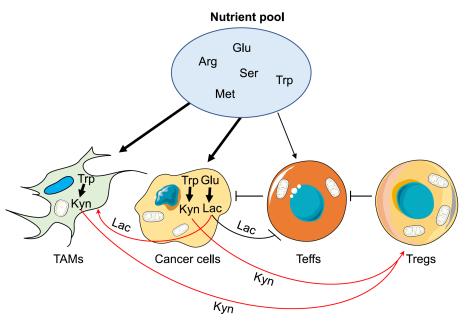


# The TME has an important role in tumor metabolism

### **Extensive metabolic communication in the TME**



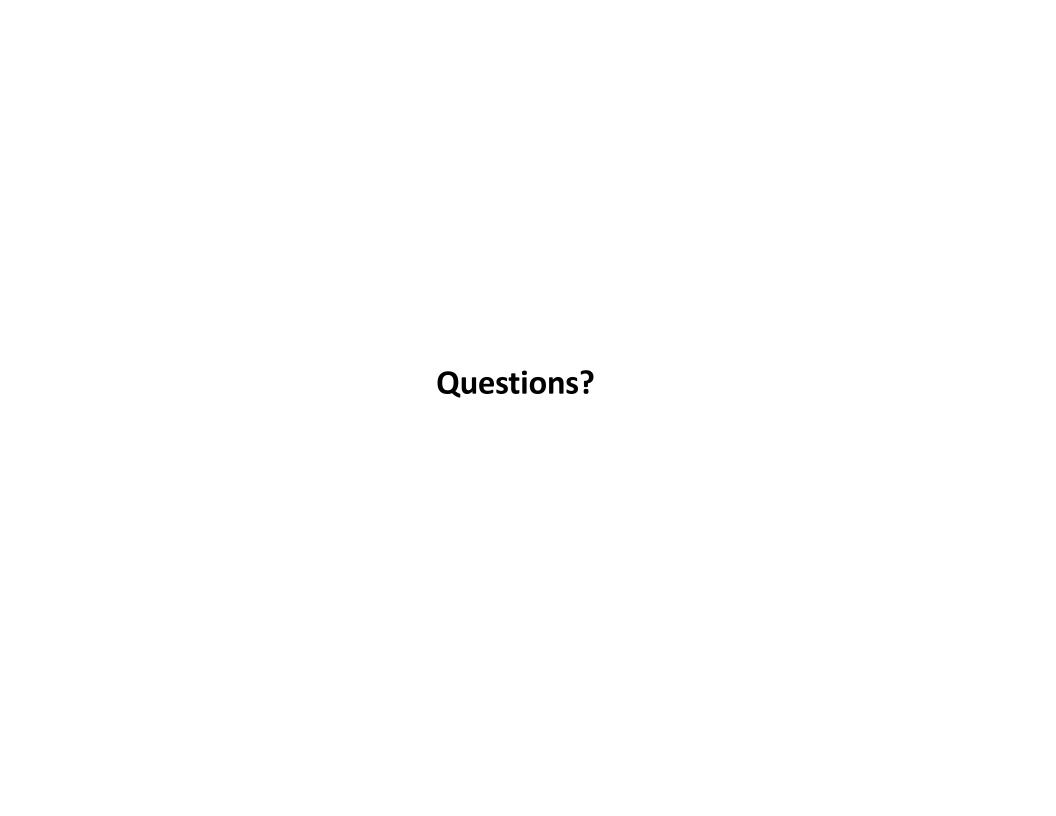
Nutrient competition leading to increased Tregs (via kynurenine production in Tu and Mo) and TAM polarization (via lactate from tumor cells)



Fuming Li, M Celeste Simon. Cancer Cells Don't Live Alone: Metabolic Communication within Tumor Microenvironments. Dev Cell 2020 Jul 20;54(2):183-195.

# Take home messages on the TME

- Tumor cells grow in a complex microenvironment like other cells in our body
- The TME can be tumor-promoting and tumor-restricting. Tumors often reprogram the TME to their favor.
- The healthy environment can be tumor-restricting and the loss of this restriction is a mechanisms of tumor promotion
- Major roles of CAFs, angiogenesis, nerves and immune cells.
- Metabolism/metabolites recognized as important in the TME crosstalk and tumor progression.
- High diversity in the TME; many subclasses of tumor-suppressing and tumor-promoting immune cells; increasing
  evidence that there is high CAF diversity with sometimes opposing functions



- Part 1: The Tumor Microenvironment (22 slides)
- Questions

- Part 2: Part 2: Tumor Immunology
  - Questions

# The birth and rebirth of tumor immunology

### The concept of immune surveillance

"It is by no means inconceivable that small accumulations of tumor cells may develop and, because of their possession of new antigenic potentialities, provoke and effective immunological reaction with regression offer tomorrow and no clinical hint of its existence"

Marfarlane Burnet, Immunologist, 1957

# The birth and rebirth of tumor immunology

- Tumor transplantation studies in different strains appeared to show that the immune system eradicates tumors. However, this proved to turn out as **allograft rejection** that was not tumor-specific.
- After recognizing this, the focus was on tumor development in immunocompromised Nude mice. There were no
  drastic differences in tumor development. Tumor surveillance by the immune system was for some time
  considered less elevant. In the 1980s, it was recognized that cells such as Nk cells, still present in Nude mice,
  were important for anti-tumor immunity. Tumor surveillance was reconsidered.
- A series of studies demonstrated immunoediting of tumors by the immune system changes of antigen profile and functional consequences of this (unedited tumors were recognized and destroyed when given to the same mouse; edited tumors grew)
- Mice deficient in IFNy signaling displayed profoundly increased tumors when subjected to carcinogens (Kaplan, D. H. et al. Demonstration of an interferon γ-dependent tumor surveillance system in immunocompetent mice. Proc. Natl Acad. Sci. USA 1998. 95, 7556–7561).

### letters to nature

# IFN \( \gamma\) and lymphocytes prevent primary tumour development and shape tumour immunogenicity

Vijay Shankaran\*, Hiroaki Ikeda\*, Allen T. Bruce\*, J. Michael White\*, Paul E. Swanson\*, Lloyd J. Old† & Robert D. Schreiber\*

Figure 1: Lymphocyte-deficient mice are highly susceptible susceptible to MCA-induced tumour development.

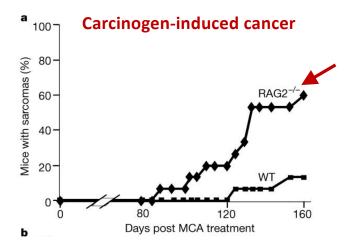


Figure 2: Increased development of spontaneous neoplastic disease in immunodeficient mice.

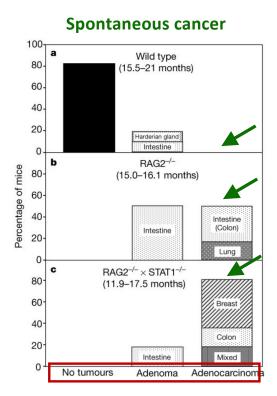
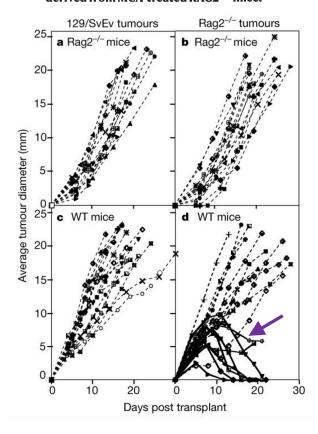


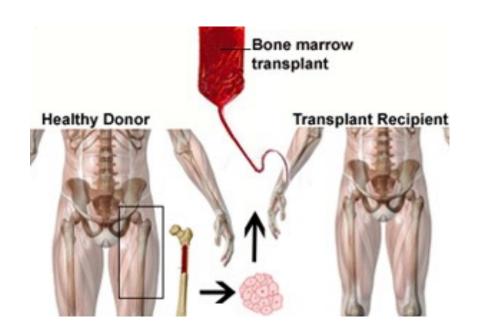
Figure 3: Increased immunogenicity of tumours derived from MCA-treated RAG2<sup>7/-</sup> mice.



# Increased cancer development in immunosuppressed patients

- Renal transplant patients have a 2.7-fold increased risk of overall cancer development
- Renal transplant patients have a 200-fold risk of non-melanoma skin cancer (Moloney, Br. J. Dermatol. 154: 498–504)
- Heart transplant patients have a 22.7-fold increase in non-Hodgkin's lymphoma (Jiang et al, Am. J. Transplant. 10: 637–45)
- Heart transplant patients have a 2-25-fold increase in lung cancer (Jian et al; Am.J.Transplant.10: 637–45, Pham et al, Ann.Thorac.Surg. 60:1623–26)

### **Graft-versus-host reactions can eliminate tumors**



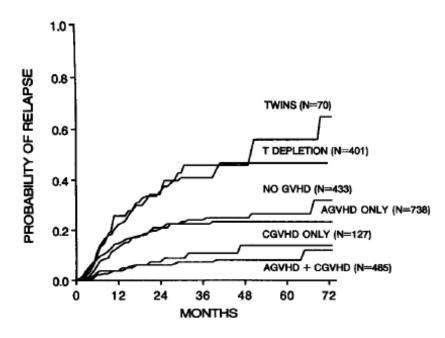
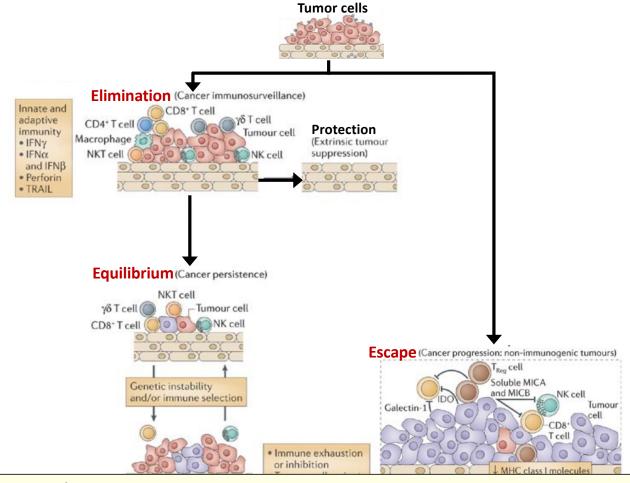


Fig 1. Actuarial probability of relapse after bone marrow transplantation for early leukemia according to type of graft and development of GVHD.

High immune reactivity = less relapse; lowest immune reactivity (twins, T cell depletion) = highest relapse

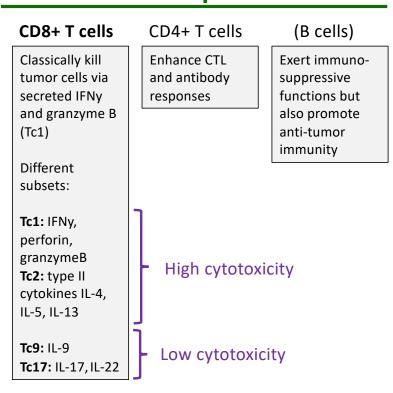
## Immunoediting: Elimination, Equilibrium, Escape



Immunoediting seen in many/most tumors; but it can fail for many reasons including active interference by tumors

## **Key mediators of anti-tumor immunity**

**Adaptive** Innate



#### NK cells Kill cells with low MHC I expression as absence of MHC I reduces Expression of "Killer cell immunoglobulin receptors (KIR)

## Recognize lipid antigens presented via CD1d Direct killing and

## immunomodula tory effects on other immune cells

Type I NKT cells

cted innate-like T-cell population Direct killing of tumor cells via perforin, granzymes and high IFNy

secretion

 $\gamma \delta$  T cells

non-MHC-restri

suppressive, high IL-12, low IL-10 M2 macrophages /TAM immunosupp ressive

M1 macro

M1 tumor-

cDC1 Most efficient Antigen Cross Presenting DC type

## **Key suppressors of anti-tumor immunity**

#### **Treg**

FoxP3+ CD4+ T cells that suppress antitumor immunity main on effects on CD8+ CTL and CD4+ T helper cells via CTLA-4.

#### M2 TAM

Express IL-10, arginase, TGFb

Inhibit T cell function through expression of PD1 and CTLA4 ligands PD-L1 and B7.

Can also promote Treg differentiation and survival and inhibit NK and NKT cells.

#### **MDSC**

Immature myeloid cells with characteristics of monocytes and/or neutrophils.

**PMN-MDSC**s suppress mainly via ROS

M-MDSCs subtypes suppress via expression of ARG1 and NO

#### **Cancer cells**

Immunosuppres sion via expression of **PD-L1** 

Immunosuppres sion via MHC class I downregulation

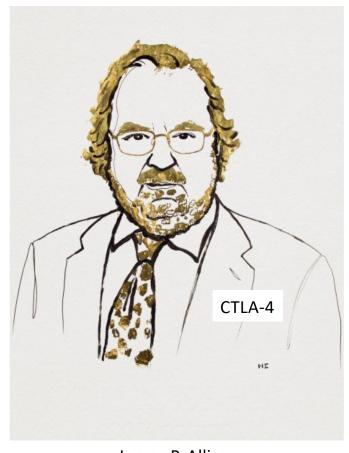
#### CAF

Induction of PD-L1 on other cell types

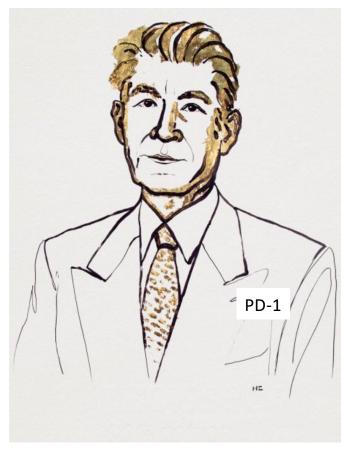
Promote
recruitment or
expansion of
immunosuppres
sive cells such
as Treg, MDSC,
M2
macrophages

## Checkpoint inhibition: One of the biggest breakthroughs in cancer therapy

2018 Nobel Prize: Discovery of cancer therapy by inhibition of negative immune regulation.



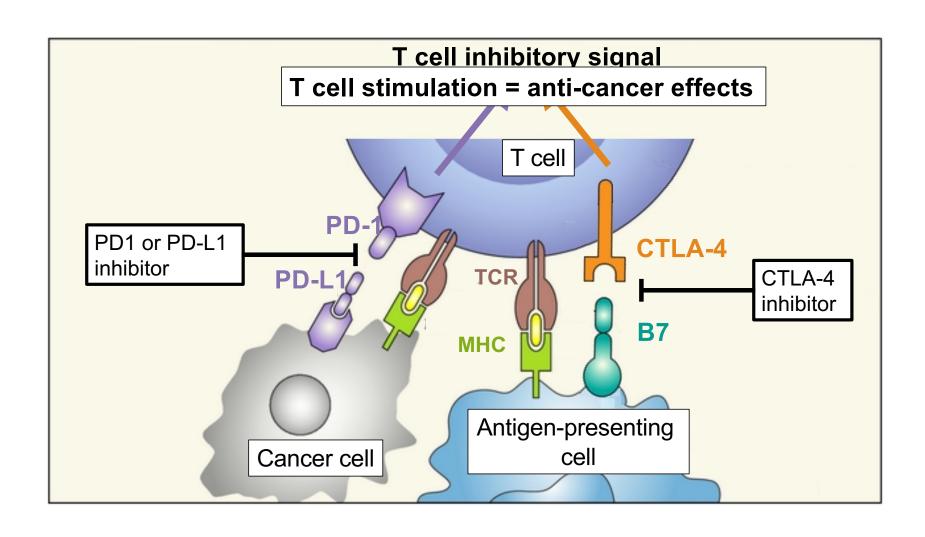




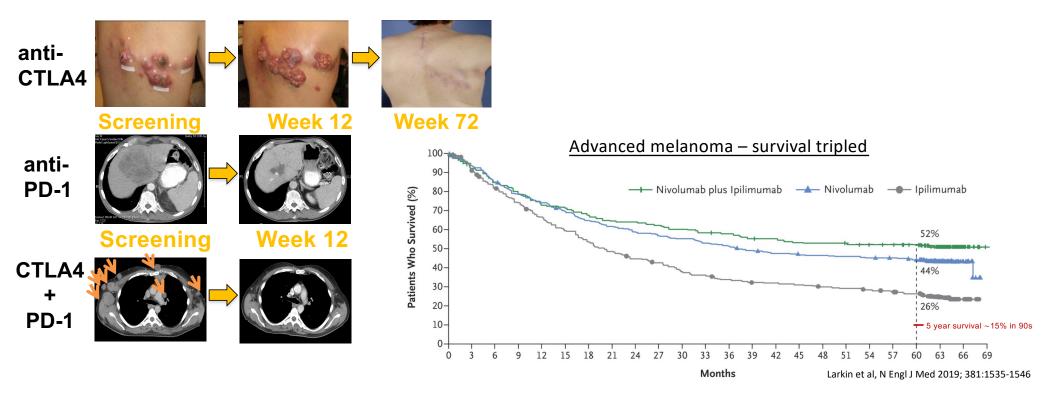
Tasuku Honjo



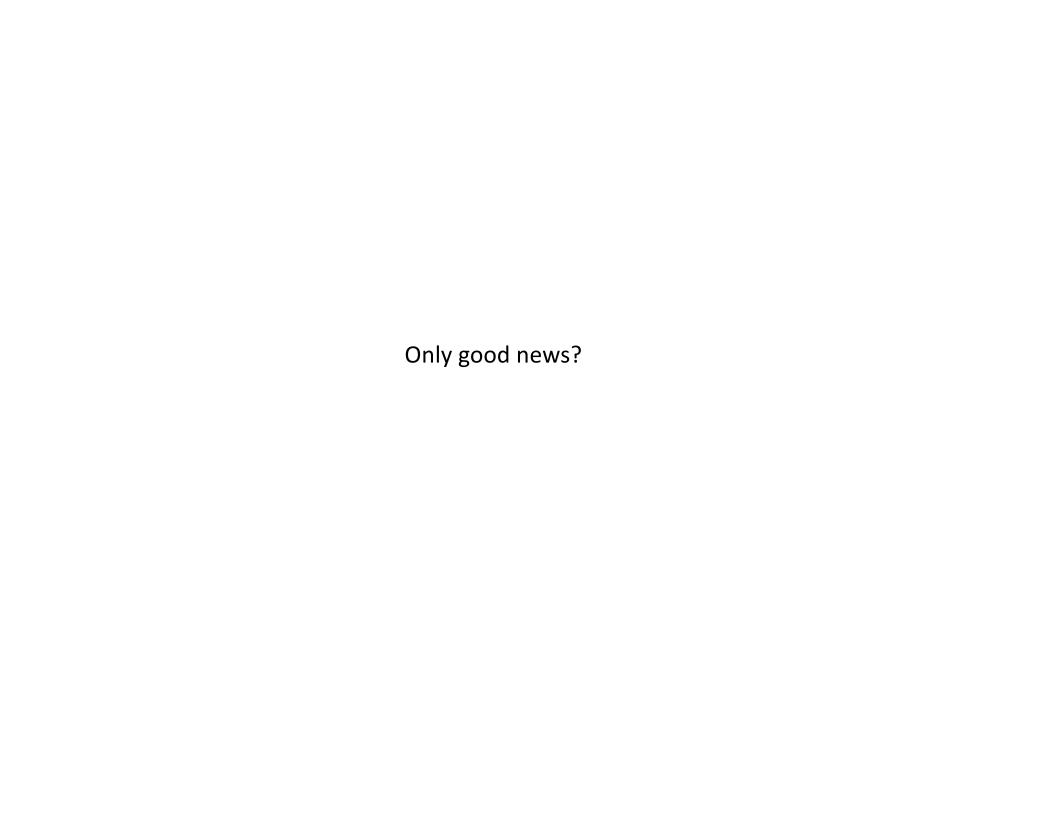
## Releasing the brake on anti-tumor responses



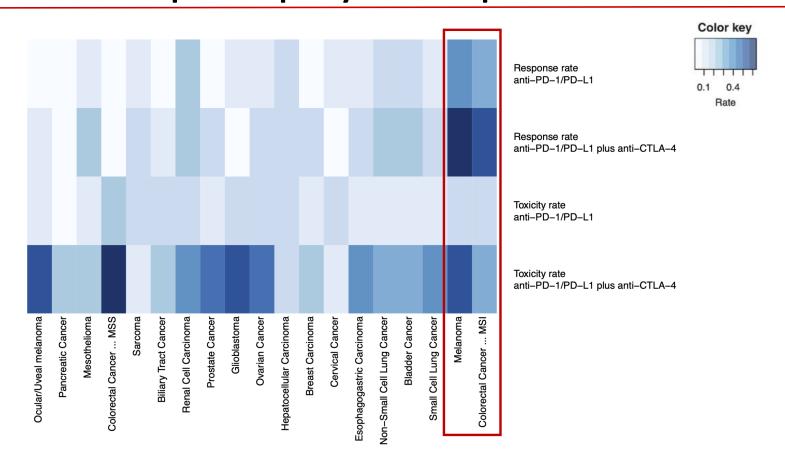
### The era of immunotherapy: Responses that were never thought possible



Even cure appears possible in advanced melanoma patients treated with checkpoint inhibitors (up to 20%).



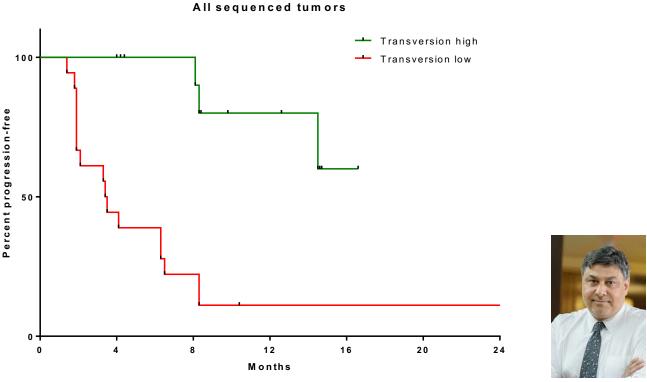
## Not all tumors respond equally to checkpoint inhibition



## Tumor mutational burden a main determinant of response rates

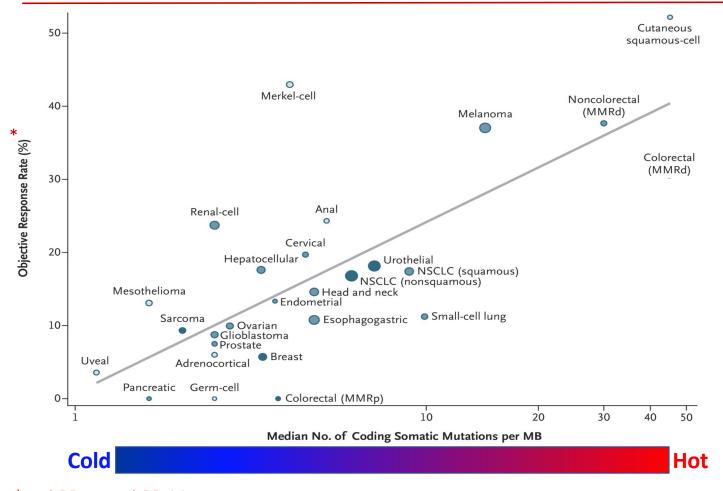
**CANCER IMMUNOLOGY** 

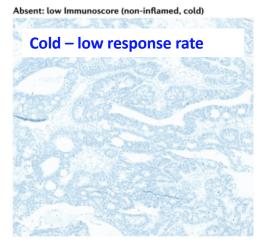
Mutational landscape determines sensitivity to PD-1 blockade in non-small cell lung cancer

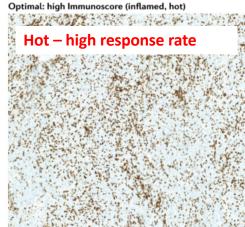


Rizvi NA et al, *Science* 2015 Apr 3;348(6230):124-8

## Tumor mutational burden a main determinant of response rates Hot vs cold tumors







\*anti-PD or anti-PD-L1 treatment

Yarchoan et al, N Engl J Med. 2017 Dec 21;377(25):2500-2501.

### A key role of the microbiome in anti-cancer therapies/immunity

#### 1. Microbiome affects chemotherapy in mice

www.sciencemag.org SCIENCE VOL 342 22 NOVEMBER 2013

#### Commensal Bacteria Control Cancer Response to Therapy by Modulating the Tumor Microenvironment

Noriho Iida, <sup>1</sup>\* Amiran Dzutsev, <sup>1,2</sup>\* C. Andrew Stewart, <sup>1</sup>\* Loretta Smith, <sup>1</sup> Nicolas Bouladoux, <sup>3</sup> Rebecca A. Weingarten, <sup>4</sup> Daniel A. Molina, <sup>5</sup> Rosalba Salcedo, <sup>1</sup> Timothy Back, <sup>4</sup> Sarah Cramer, <sup>1</sup> Ren-Ming Dai, <sup>1</sup><sup>2</sup> Hiu Kiu, <sup>1</sup> Marco Cardone, <sup>1</sup> Shruti Naik, <sup>3</sup> Anil K. Patri, <sup>6</sup> Ena Wang, <sup>7</sup> Francesco M. Marincola, <sup>7,8</sup> Karen M. Frank, <sup>4</sup> Yasmine Belkaid, <sup>3</sup> Giorgio Trinchieri, <sup>1</sup>† Romina S. Goldszmid <sup>1</sup>† †

## The Intestinal Microbiota Modulates the Anticancer Immune Effects of Cyclophosphamide

Sophie Viaud, <sup>1,3</sup> Fabiana Saccheri, <sup>1</sup> Grégoire Mignot, <sup>4,5</sup> Takahiro Yamazaki, <sup>1</sup> Romain Daillère, <sup>1,3</sup> Dalil Hannani, <sup>1</sup> David P. Enot, <sup>1,6</sup> Christina Pfirschke, <sup>9</sup> Camilla Engblom, <sup>9</sup> Mikael J. Pittet, <sup>9</sup> Andreas Schlitzer, <sup>10</sup> Florent Ginhoux, <sup>10</sup> Lionel Apetoh, <sup>4,5</sup> Elisabeth Chachaty, <sup>11</sup> Geard Eberl, <sup>12</sup> Marion Bérard, <sup>31</sup> Chantal Ecobichon, <sup>14,15</sup> Dominique Clermont, <sup>16</sup> Chantal Bizet, <sup>16</sup> Valérie Gaboriau-Routhiau, <sup>17,18</sup> Nadine Cerf-Bensussan, <sup>17,18</sup> Paule Opolon, <sup>19,20</sup> Nadia Yessaad, <sup>12,22,3,24</sup> Eric Vivier, <sup>21,22,23,24</sup> Bernhard Ryffel, <sup>25</sup> Charles O. Elson, <sup>26</sup> Joël Doré, <sup>17,27</sup> Guido Kroemer, <sup>7,8,28,29,30</sup> Patricia Lepage, <sup>17,27</sup> Ivo Gomperts Boneca, <sup>14,15</sup> François Ghiringhelli, <sup>4,5,6</sup> Laurence Zitvoqel, <sup>12,3</sup>†

Reduced effects of chemotherapy in Abxtreated or germ-free mice (P815 mastocytoma, MCA205 sarcoma, MC38 colon cancer, Ret melanoma)

#### 2. Microbiome affects immunotherapy in mice

SCIENCE sciencemag.org

CANCER IMMUNOTHERAPY 27 NOVEMBER 2015 • VOL 350 ISSUE 6264 1079

## Commensal *Bifidobacterium* promotes antitumor immunity and facilitates anti-PD-L1 efficacy

Ayelet Sivan, <sup>1</sup>\* Leticia Corrales, <sup>1</sup>\* Nathaniel Hubert, <sup>2</sup> Jason B. Williams, <sup>1</sup>
Keston Aquino-Michaels, <sup>3</sup> Zachary M. Earley, <sup>2</sup> Franco W. Benyamin, <sup>1</sup> Yuk Man Lei, <sup>2</sup>
Bana Jabri, <sup>2</sup> Maria-Luisa Alegre, <sup>2</sup> Eugene B. Chang, <sup>2</sup> Thomas F. Gajewski<sup>1,2</sup>†

**CANCER IMMUNOTHERAPY** 

## Anticancer immunotherapy by CTLA-4 blockade relies on the gut microbiota

Marie Vétizou, <sup>1,2,3</sup> Jonathan M. Pitt, <sup>1,2,3</sup> Romain Daillère, <sup>1,2,3</sup> Patricia Lepage, <sup>4</sup> Nadine Waldschmitt, <sup>5</sup> Caroline Flament, <sup>1,2,6</sup> Sylvie Rusakiewica, <sup>1,2,6</sup> Bertrand Routy, <sup>1,2,3,6</sup> Maria P. Robert, <sup>1,2,6</sup> Connie P. M. Duong, <sup>1,2,6</sup> Vichnou Poirier-Colame, <sup>1,3,6</sup> Antoine Roux, <sup>1,2,7</sup> Sonia Becharef, <sup>1,2,6</sup> Silvia Formenti, <sup>8</sup> Encouse Golden, <sup>8</sup> Sascha Cording, <sup>9</sup> Gerard Eberl, <sup>9</sup> Andreas Schlitzer, <sup>10</sup> Florent Ginhoux, <sup>10</sup> Sridhar Mani, <sup>11</sup> Takahiro Yamazaki, <sup>1,2,6</sup> Nicolas Jacquelot, <sup>1,2,3</sup> David P. Enot, <sup>1,7,12</sup> Marion Bérard, <sup>13</sup> Jérôme Nigou, <sup>14,15</sup> Paule Opolon, <sup>1</sup> Alexander Eggermont, <sup>1,2,16</sup> Paul-Louis Woerther, <sup>17</sup> Elisabeth Chachaty, <sup>17</sup> Nathalie Chaput, <sup>1,18</sup> Caroline Robert, <sup>1,19</sup> Christina Mateus, <sup>1,16</sup> Guido Kroemer, <sup>7,12,20,21,22</sup> Didier Raoult, <sup>23</sup> Ivo Gomperts Boneca, <sup>24,25</sup> Franck Carbonnel, <sup>3,26</sup> Mathias Chamaillard, <sup>5</sup>\* Laurence Zitvogel<sup>1,2,3,6</sup> †

Reduced effects of anti-PD-L1 and anti-CTLA-4 therapy in Abx-treated or germ-free mice (B16 melanoma, MCA205 sarcoma)

### A key role of the microbiome in anti-cancer therapies

#### Microbiome required for efficient immunotherapy in patients

Science 359, 91-97 (2018)

**CANCER IMMUNOTHERAPY** 

#### Gut microbiome influences efficacy of PD-1-based immunotherapy against epithelial tumors

Bertrand Routy, <sup>1,2,3</sup> Emmanuelle Le Chatelier, <sup>4</sup> Lisa Derosa, <sup>1,2,5</sup> Connie P. M. Duong, <sup>1,2,5</sup> Maryam Tidjani Alou, <sup>1,2,5</sup> Romain Daillère, <sup>1,2,5</sup> Maria P. Roberti, <sup>1,2,5</sup> Aurélie Fluckiger, <sup>1,2,5</sup> Meriem Messaoudene, <sup>1,2</sup> Conrad Rauber, <sup>1,2,5</sup> Maria P. Roberti, <sup>1,2,5</sup> Marine Fidelle, <sup>1,3,5</sup> Caroline Flament, <sup>1,2,5</sup> Vichnou Poirier-Colame, <sup>1,2,5</sup> Paule Opolon, <sup>6</sup> Christophe Klein, <sup>7</sup> Kristina Iribarren, <sup>8,9,10,11,12</sup> Laura Mondragón, <sup>8,9,10,11,12</sup> Nicolas Jacquelot, <sup>1,3,5</sup> Bo Qu, <sup>1,2,5</sup> Gladys Ferrere, <sup>1,2,5</sup> Céline Clémenson, <sup>1,13</sup> Laura Mezquita, <sup>1,14</sup> Jordi Remon Masip, <sup>1,14</sup> Charles Naltet, <sup>15</sup> Solenn Brosseau, <sup>15</sup> Coureche Kaderbhai, <sup>16</sup> Corentin Richard, <sup>16</sup> Hira Rizvi, <sup>17</sup> Florence Levenez, <sup>4</sup> Nathalie Galleron, <sup>8</sup> Benoit Quinquis, <sup>8</sup> Nicolas Pons, <sup>8</sup> Bernhard Ryffel, <sup>18</sup> Véronique Minard-Colin, <sup>1,19</sup> Patrick Gonin, <sup>1,20</sup> Jean-Charles Soria, <sup>1,14</sup> Eric Deutsch, <sup>1,13</sup> Yohann Loriot, <sup>1,3,14</sup> François Ghiringhelli, <sup>16</sup> Gérard Zaleman, <sup>15</sup> François Goldwasser, <sup>9,21,22</sup> Bernard Escudier, <sup>1,14,23</sup> Matthew D. Hellmann, <sup>24,25</sup> Alexander Eggermont, <sup>1,2,14</sup> Didier Raoult, <sup>26</sup> Laurence Albiges, <sup>1,5,14</sup> Guido Kroemer, <sup>8,9,10,11,22</sup> (Taurence Zitvogel <sup>1,20,58</sup>

Science **359**, 97–103 (2018)

CANCER IMMUNOTHERAPY

#### Gut microbiome modulates response to anti-PD-1 immunotherapy in melanoma patients

V. Gopalakrishnan, <sup>1,2s</sup> C. N. Spencer, <sup>2,3s</sup> L. Nezi, <sup>3s</sup> A. Reuben, <sup>1</sup> M. C. Andrews, <sup>1</sup> T. V. Karpinets, <sup>3</sup> P. A. Prieto, <sup>1</sup>† D. Vicente, <sup>1</sup> K. Hoffman, <sup>8</sup> S. C. Wei, <sup>5</sup> A. P. Cogdill, <sup>1,5</sup> L. Zhao, <sup>3</sup> C. W. Hudgens, <sup>6</sup> D. S. Hutchinson, <sup>7</sup> T. Manzo, <sup>3</sup> M. Petaccia de Macedo, <sup>6</sup> <sup>1</sup> † T. Cotechini, <sup>8</sup> T. Kumar, <sup>3</sup> W. S. Chen, <sup>9</sup> S. M. Reddy, <sup>10</sup> R. Szczepaniak Sloane, <sup>1</sup> J. Galloway-Pena, <sup>1</sup> H. Jiang, <sup>1</sup> P. L. Chen, <sup>9</sup> S. J. Shpall, <sup>12</sup> K. Rezvani, <sup>12</sup> A. M. Alousi, <sup>12</sup> R. F. Chemaly, <sup>11</sup> S. Shelburre, <sup>3</sup> H. J. Wence, <sup>2</sup> P. C. Okhuysen, <sup>11</sup> V. B. Jensen, <sup>14</sup> A. G. Swennes, <sup>7</sup> F. McAllister, <sup>14</sup> E. Marcelo Riquelme Sanchez, <sup>14</sup> Y. Zhang, <sup>14</sup> E. Le Chatelier, <sup>18</sup> L. Zitvogel, <sup>16</sup> N. Pons, <sup>15</sup> J. L. Austin-Breneman, <sup>1</sup>|| L. E. Haydu, <sup>1</sup> E. Le Chatelier, <sup>18</sup> L. Zitvogel, <sup>16</sup> N. Pons, <sup>15</sup> J. L. Austin-Breneman, <sup>11</sup> J. H. E. Haydu, <sup>1</sup> E. M. Burton, <sup>1</sup> J. M. Gardner, <sup>1</sup> E. Sirmans, <sup>17</sup> J. Hu, <sup>18</sup> A. J. Lazar, <sup>95</sup> T. Tsujikawa, <sup>6</sup> A. Diab, <sup>17</sup> H. Tawbi, <sup>17</sup> I. C. Glitza, <sup>17</sup> W. J. J. Hvu, <sup>17</sup> S. P. Patel, <sup>18</sup> S. E. Woodman, <sup>7</sup> R. N. Amaria, <sup>79</sup> M. A. Davis, <sup>13</sup> J. F. Gershenvald, <sup>1</sup> P. Hum, <sup>17</sup> J. E. Lee, <sup>1</sup> J. Zhang, <sup>3</sup> L. M. Coussens, <sup>8</sup> Z. A. Cooper, <sup>1,5</sup> P. A. Futreal, <sup>3</sup> C. R. Daniel, <sup>4,2</sup> N. J. Ajami, <sup>7</sup> J. F. Petrosino, <sup>7</sup> M. T. Tetzlaff, <sup>6,9</sup> P. Sharma, <sup>5,19</sup> J. P. Allison, <sup>5</sup>

Science **359**, 104–108 (2018)

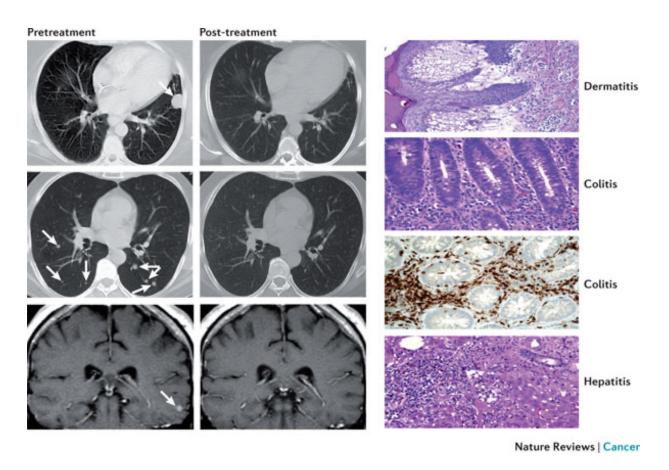
CANCER IMMUNOTHERAPY

## The commensal microbiome is associated with anti-PD-1 efficacy in metastatic melanoma patients

Vyara Matson,<sup>1</sup>\* Jessica Fessler,<sup>1</sup>\* Riyue Bao,<sup>2,3</sup>\* Tara Chongsuwat,<sup>4</sup> Yuanyuan Zha,<sup>4</sup> Maria-Luisa Alegre,<sup>4</sup> Jason J. Luke,<sup>4</sup> Thomas F. Gajewski<sup>1,4</sup>†

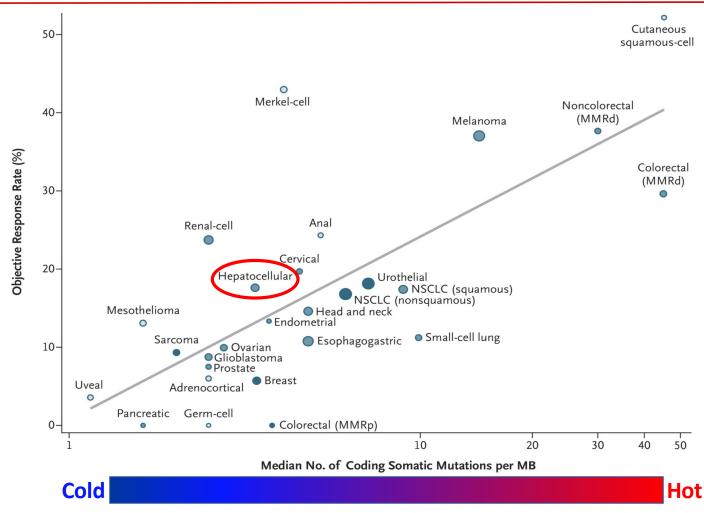
Gut microbial profiles modulate immunotherapy in patients

## Checkpoint inhibition can be effective but can have severe autoimmune side effects



Side effects can be severe and life-threatening; higher in anti-CTLA4-treated patients than anti-PD1/PD-L1

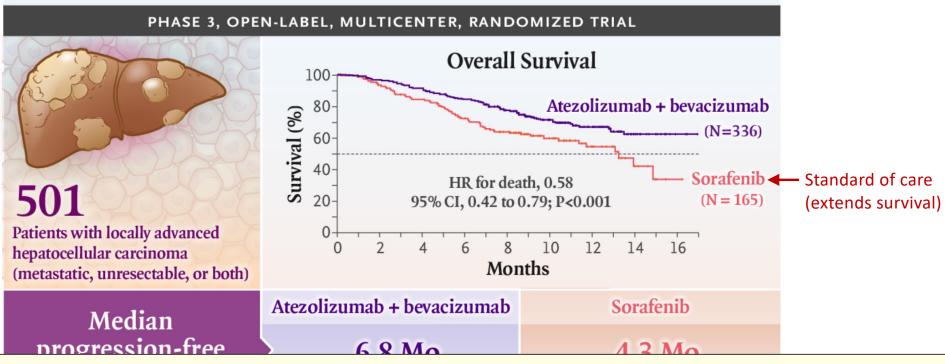
## Major impact even in moderately responding tumor types (e.g. HCC)



## Combination therapies on the horizon – further improvements



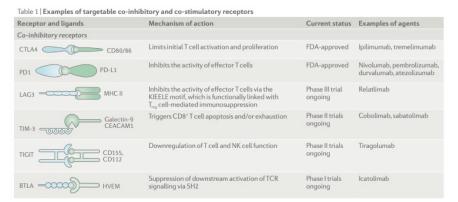




Multiple combination therapies tested in clinical trials in various tumors

## Additional immunotherapies on the horizon

#### Targetable co-inhibitory and co-stimulatory receptors (immune checkpoints)



Co-stimulatory receptors			
GITR ### 8## GITRL	Promotes activation and proliferation of effector T cells and a reduction in $T_{\rm reg}$ cells	Phase II trials ongoing	TRX518, BMS-986156
OX40 OX40L	Promotes survival, but not priming, of both effector and memory T cells	Phase II trials ongoing	GSK3174998, MEDI6469, PF-04518600
4-1BB 4-1BBL	Promotes T cell proliferation and mitochondrial function and biogenesis	Phase I trials ongoing	Utomilumab, urelumab
ICOS ICOSL	Promotes TCR co-stimulation and $T_{\rm reg}$ cell stimulation	Phase I trials ongoing	Vopratelimab, KY1044, GSK3359609

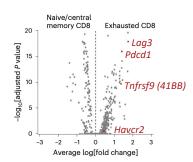
Kraehenbuehl L et al, Nat Rev Clin Onc 2022

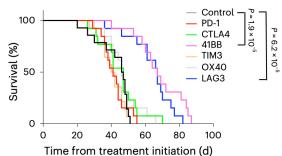
#### Example for efficient therapy with novel checkpoint inhibitors in PDAC mouse models

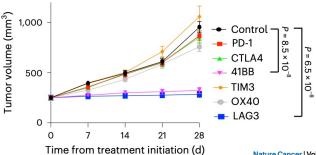
nature cancer
Article https://doi.org/10.1038/s43018-022-00500-z

## Targeting T cell checkpoints 41BB and LAG3 and myeloid cell CXCR1/CXCR2 results in antitumor immunity and durable response in pancreatic cancer

Pat Gulhati<sup>12</sup>. Alalyn Schalck<sup>2</sup>, Shan Jiang<sup>4</sup>, Xiaoying Shang<sup>4</sup>, Chang-Jiun Wu @<sup>5</sup>, Pingping Hou @<sup>4</sup>, Sharia Hernandez Ruiz<sup>4</sup>, Luisa Solis Soto @<sup>6</sup>, Edwin Parra @<sup>6</sup>, Haoqiang Ying @<sup>7</sup>, Jincheng Han<sup>4</sup>, Pisar Bibar Bib





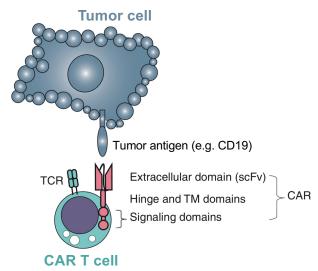


Nature Cancer | Volume 4 | January 2023 | 62-80

## Additional immunotherapies on the horizon

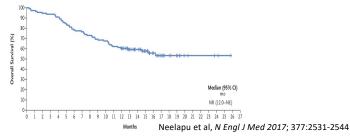
#### **CAR T cells**

(Chimeric Antigen Receptor)



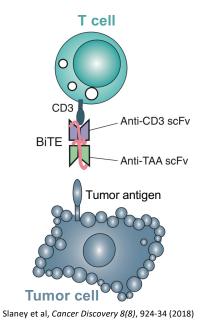
Slaney et al, Cancer Discovery 8(8), 924-34 (2018)

Already approved for CD19 in B cell lymphomas with 80% response rates

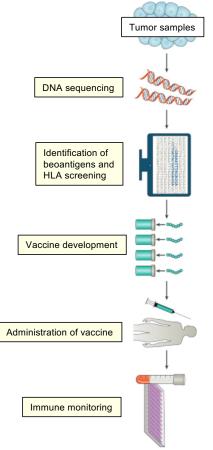


#### **BiTEs**

(Bispecific T cell Engager)



#### Personalized cancer vaccines



Waldman et al, Nature Reviews Immunology 20, 651–668(2020)

## CAR T cell therapies can be extremely promising

#### Review/perspective on CAR T cells

#### nature biotechnology

Accepted: 23 September 2024

erspective https://doi.org/10.1038/s41587-024-0244

## Beyond the blood: expanding CART cell therapy to solid tumors

Received: 1 June 2024 Ugur Uslu © 1.2.3 & Carl H. June © 1.2.3

Apheresis

CAR/TCR
tranduction

Reinfusion

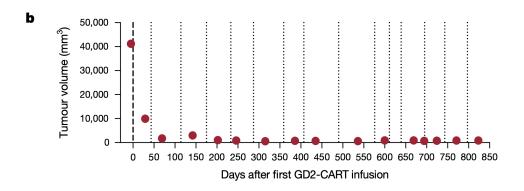
CAR/TCR
T cells

T cell

Example of a study with a complete remission for glioma

#### **Article**

## Intravenous and intracranial GD2-CAR T cells for H3K27M<sup>+</sup> diffuse midline gliomas



Nature. 2024 Nov 13. doi: 10.1038/s41586-024-08171-9.

## Personalized neoantigen vaccine in PDAC appears promising

# Article Personalized RNA neoantigen vaccines stimulate T cells in pancreatic cancer https://doi.org/10.1038/s41586-023-06063-y Received: 10 January 2023 Accepted: 6 April 2023 Luis A. Rojas 12.18, Zachary Sethna 12.19, Kevin C. Soares 23, Cristina Olcese<sup>3</sup>, Nan Pang<sup>3</sup>, Fir Patterson<sup>3</sup>, Jayon Lihm<sup>4</sup>, Nicholas Ceglia<sup>4</sup>, Pablo Guasp 12, Alexander Chu<sup>4</sup>, Rebecca Yu<sup>3</sup>, Adrienne Kaya Chandra 13, Theresa Waters 13, Jannifer Ruan 13, Masataka Amisaki 13, Abderezak Zebboudji<sup>3</sup>, Zagaa Odgerel 14, George Payne<sup>1</sup>, Evelvna Perhovanessain<sup>5</sup>, Felicitas Müller<sup>5</sup>, Ina Raw<sup>4</sup>, Anton Dobrin 136, September 14, Debrin 136, Debrin 136, September 14, Debrin 136, September 14, Debrin 136, Debrin

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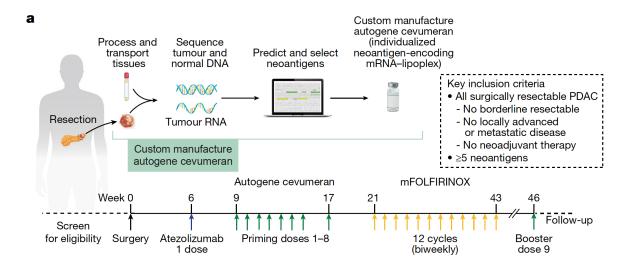
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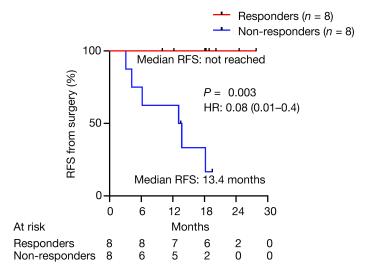
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144 | Nature | Vol 618 | 1 June 2023





## Take home messages on tumor immunity

- There is an active tumor surveillance/immunoediting process that restricts tumor development
- Immune surveillance often fails when tumors grow, e.g. via upregulation of various pathways suppressing immunity
- PD1/PD-L1 and CTLA4 are major immune checkpoints that can suppress anti-tumor immunity
- Immunotherapy is one of the most exciting and successful new cancer therapies from the last decade
- Response rate high for some tumors with high TMB (e.g. melanoma) but low for many others (e.g. PDAC, low TMB)
- Further improvements expected via combination therapies; new checkpoint inhibitors beyond CTLA4 and PD1/PD-L1
- Additional immune-based therapies on the horizon
- Side effects of immunotherapy can be severe/life-threatening.

